

PTO/SB/98 (28-04)

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Paul Bacchi and Paul S. FiliptsiApplication No./Patent No.: 10/649,116 Filed/Issue Date: August 26, 2003Entitled: SPECIMEN SENSING AND EDGE GRIPPING END EFFECTORNewport Corporation a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012303, Frame 0545, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Robert J. Phillippy
Signature

9/21/04
Date

Robert J. Phillippy

Printed or Typed Name

949-253-1284

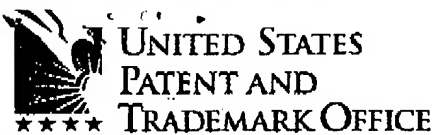
Telephone Number

President and Chief Operating Officer

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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JANUARY 15, 2002

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101896809A

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/13/2001

REEL/FRAME: 012303/0545
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
BACCHI, PAUL

DOC DATE: 11/05/2001

ASSIGNOR:
FILIPSKI, PAUL S.

DOC DATE: 11/05/2001

ASSIGNEE:
NEWPORT CORPORATION
1791 DEERE AVENUE
IRVINE, CALIFORNIA 92606

SERIAL NUMBER: 09920353
PATENT NUMBER:

FILING DATE: 08/01/2001
ISSUE DATE:

ANTIONE ROYALL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

PATENT APPLICATION

ASSIGNMENT

WHEREAS, we, Paul Bacchi, of Novato, County of Marin, State of California, and Paul S. Filipski, of Greenbrae, County of Marin, State of California, have made inventions described in a United States patent application entitled **METHOD OF USING A SPECIMEN SENSING END EFFECTOR TO DETERMINE THE THICKNESS OF A SPECIMEN**, filed with the United States Patent and Trademark Office on August 1, 2001, and allotted Application No. 09/920,353; and

WHEREAS, Newport Corporation, a California corporation, having a place of business at 1791 Deere Avenue Irvine, California 92606, is desirous of acquiring an interest therein:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we, Paul Bacchi and Paul S. Filipski, hereby sell, assign, and transfer to Newport Corporation the full and exclusive right, title, and interest in and to said inventions, said patent application, and any and all patent rights and letters patent for said inventions in the United States and elsewhere throughout the world, including foreign patent priority rights and the right to apply for patents in foreign countries in our names or in the name of Newport Corporation, and further including all divisions and continuations of said application and of any foreign parent applications and all reissues and extensions of patent rights and letters patent for said inventions, all to be held and enjoyed by Newport Corporation, for its own use and benefit, and by its successors and assigns for their own use and benefit, for the full duration of the terms for which patent rights and letters patent may be granted in this or any foreign country, and covenant that we have full right so to do, and agree that we will communicate to Newport Corporation, and its successors and assigns, any facts known to us respecting said inventions, and testify in any

legal proceeding, sign all lawful papers, execute all lawful divisional, continuation, and reissue applications, make all rightful declarations or oaths, and do everything lawfully possible to aid Newport Corporation, and its successors and assigns, to obtain and enforce proper patent protection for said inventions in this or any foreign country.

Executed at Richmond, State of California, this

5th day of ~~October~~, 2001.
November

Paul Bacchi

Paul Bacchi

[illegible]

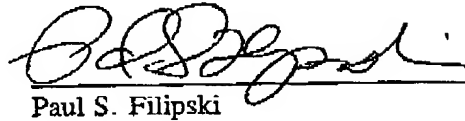
This 5th day of November, 2001, before me

personally came the above-named Paul Bacchi, who executed the foregoing instrument in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth herein.

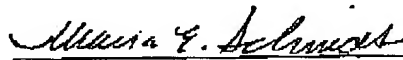


Maria E. Schmidt
Notary Public for California
My commission expires: 8/15/2004

Executed at Richmond, State of California, this

5th ^{November} day of ~~October~~, 2001.
Paul S. FilipskiSTATE OF CALIFORNIA)
) ss.
County of Contra Costa)

This 5th ^{November} day of ~~October~~, 2001, before me
personally came the above-named Paul S. Filipski, who executed the foregoing
instrument in my presence, and who acknowledged to me that he executed the
same of his own free will for the purposes set forth herein.

Notary Public for California
My commission expires: 8/15/2004

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Attorney Docket No. 40146/32:4